

# Broadband absorption and efficiency enhancement of an ultra-thin silicon solar cell with a plasmonic fractal

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**Abstract:** We report in this work that quantum efficiency can be significantly enhanced in an ultra-thin silicon solar cell coated by a fractal-like pattern of silver nano cuboids. When sunlight shines this solar cell, multiple antireflection bands are achieved mainly due to the self-similarity in the fractal-like structure. Actually, several kinds of optical modes exist in the structure. One is cavity modes, which come from Fabry-Perot resonances at the longitudinal and transverse cavities, respectively; the other is surface plasmon (SP) modes, which propagate along the silicon-silver interface. Due to the fact that several feature sizes distribute in a fractal-like structure, both low-index and high-index SP modes are simultaneously excited. As a whole effect, broadband absorption is achieved in this solar cell. Further by considering the ideal process that the lifetime of carriers is infinite and the recombination loss is ignored, we demonstrate that external quantum efficiency of the solar cell under this ideal condition is significantly enhanced. This theoretical finding contributes to high-performance plasmonic solar cells and can be applied to designing miniaturized compact photovoltaic devices.

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## 1. Introduction

Silicon is always the leading material in low-cost commercial solar cells, for its second abundance on earth and non-toxicity in production. Increasing power conversion efficiency and reducing manufacture cost are important keys to high-performance solar cells [1]. But for further improving the efficiency, the main problem of silicon material is that the absorption length (>2000nm) is much longer than the excitation diffusion length (<100nm) [2]. In the past decades, the second-generation thin-film solar cells [3] have offered a viable pathway to solve this problem by reducing the carrier recombination in the bulk of semiconductor. Yet the efficiency of thin-film solar cells is still not high enough comparing with traditional thick-wafer ones.

It is known that antireflection coatings (ARC) are applied to inhibit light reflecting into the air [4–7], such as randomly textured surfaces [7] and graded refractive-index surfaces [8]. Recently, it has been found that the efficiency can be enhanced in a plasmonic way [2,9–17]. For example, metallic core-shell nanoparticles [11,12], highly ordered nanorods and nanopillars [13,14], e.g. are promising approaches for enhancement. In addition, metallic nanogratings locating on the top [15], the bottom [16] and both sides [17] of solar cells can lead to high efficiency, respectively. In all of these ways, broadband absorption is a promising concept perused by the researchers. Physically, if the absorption to sunlight irradiation is extended to in broader bandwidths, more previously-wasted solar energy can be collected and converted into photocurrents, which finally enhancing the efficiency of solar cells. Though much progress has been made on this aspect, lots of solar cell systems achieve strong absorption only in narrowband, and so far it is still not fully investigated about broadband absorption enhancement in ultra-thin film photovoltaic (PV) devices.

In this work, in order to achieve broadband enhancement, we design a fractal-like pattern of silver nano cuboids on the top of an ultra-thin silicon solar cell. Based on the three-dimensional (3D) finite-difference time-domain (FDTD) method, we show theoretically that by introducing multiple length scales within the same structure (*i.e.*, fractal), multiple momentum compensations are achieved in the system. Then incident light at different frequency bands can couple into the cavity modes and the surface plasmon modes, respectively. In this way, broadband absorption and efficiency enhancement are achieved in this system. This finding contributes to high-performance plasmonic solar cells and can be applied to design miniaturized compact photovoltaic devices.

## 2. The proposed structure and the simulation method

The concept of fractal can trace back to 17th century, but the term “fractal” was first used by mathematician Benoît Mandelbrot, now fractal is famous for its self-similar patterns at different scales and outstanding characteristics [18]. Some groups (including ours) have already employed fractal to the plasmonic nanostructures [19,20]. Here we further extend this idea to the photovoltaic devices and try to improve the solar cell efficiency. In order to achieve broadband response of electromagnetic waves, we intentionally design a fractal-like pattern of silver nano cuboids coated on an ultra-thin silicon solar cell. As shown in Fig. 1, the system contains three layers: a silver (Ag) fractal-like pattern (thickness  $d_1$ ) coated on the top, a crystalline silicon (c-Si) absorb layer (thickness  $d_2$ ) in the middle and a silver (Ag) back reflector (thickness  $d_3$ ) on the bottom. Here we do not give the dielectric anti-reflection coating (ARC) and the passivation layer in this simplified system, but in Sec. 3 we additionally show the role of dielectric ARC in the device. The plasmonic fractal can be constructed as follow. It starts from the original period ( $P$ ) with a central square pattern and then it is divided into a grid of  $2 \times 2$ . In each sub-period ( $0.5P$ ), a sub-square pattern is also in the center. On every next scale, it repeats the same operation. For several times of iterations, we can illustrate this fractal-like pattern on top left inset in Fig. 1. Besides, the back reflector is needed in order to reflect the incident light back and extends the absorption thickness, especially in ultra-thin PV devices [21]. Two reference structures are given: one is a free-standing 50nm-thick Si film (ref-1) and the other is a 50nm-thick Si film on the top of a 250nm-thick Ag back reflector (ref-2).

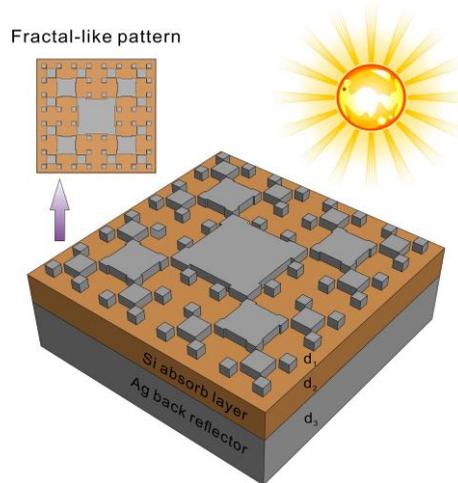


Fig. 1. The schematic ultra-thin silicon solar cell with a plasmonic fractal, which consists of a silver (Ag) fractal-like pattern (thickness  $d_1$ ) coated on the top, a crystalline silicon (c-Si) absorb layer (thickness  $d_2$ ) in the middle and a silver (Ag) back reflector (thickness  $d_3$ ) on the bottom. The inset on top left is the front view of the pattern.

We carry out the numerical calculations on optical reflection and absorption spectra based on the finite-difference time-domain (FDTD) method with Lumerical FDTD Solution 8.0.1. We define the pattern's height as  $d_1 = 20\text{nm}$  in order to decrease energy loss in metal and silver back reflector's thickness as  $d_3 = 250\text{nm}$ , also the thickness of the silicon layer is set as  $d_2 = 50\text{nm}$ . As shown in Fig. 1, we can find that fractal-like pattern can be regarded as a composite structure, which consists of different base-periodicity patterns. It is specially noticed that this schematic pattern contains 4 periods, and in the following work we simplify to 3 periods since the broadband response have already been presented well. The parameters of patterns' width ( $W$ ) and period ( $P$ ) are symmetric in  $x$  and  $y$  axis, so it is same for both transverse-magnetic (TM) and transverse-electric (TE) polarized electromagnetic waves under normal incident. Here the TM polarized electromagnetic wave is set along  $z$  axis with magnetic field component along  $y$  axis. Our work uses a single unit cell for periodic boundary conditions in  $x$  and  $y$  directions, and perfectly matched layers (PML) boundary conditions in  $z$  direction. The optical constants (including the refractive index and the absorption parameter) of silver and crystalline silicon are taken from [22]. The light source is set at  $100\text{nm}$  before the surface of sample and the light is normally incident. We put the Frequency-domain field and power detectors behind the light source and surrounding the Si active layer to collect the reflection and absorption. The mesh is carefully refined in constructed areas for considerations of strong interaction between metal and silicon with additional meshes setting grid size of  $2.5\text{nm}$  in three directions.

### 3. Multiple antireflection bands and excited optical modes

In order to explore the light trapping in silicon layer with a plasmonic fractal, our investigation is carried on from simplicity to complexity. In this section, we break down fractal-like pattern into 3 base-periodicity patterns as depicted in Figs. 2(a)-2(c), each of them contains a single periodic pattern. In Figs. 2(a)-2(c) the patterns of silver nano cuboids have the period ( $P$ ) of  $P_1 = 100\text{nm}$ ,  $P_2 = 200\text{nm}$  and  $P_3 = 400\text{nm}$ , respectively. And the widths ( $W$ ) of nano cuboids are  $W_1 = 25\text{nm}$ ,  $W_2 = 100\text{nm}$  and  $W_3 = 200\text{nm}$ , respectively.

The calculated reflectance spectra cover the wavelength range from  $400\text{nm}$  to  $1100\text{nm}$  as shown in Figs. 2(a)-2(c). Several dips emerge in those three reflection curves, which indicate that base-periodicity patterns can act as antireflection coating in some spectral ranges. For example, in Fig. 2(a), two reflection dips are obviously observed: one is around the wavelength

$\lambda = 430\text{nm}$ ; the other is around  $\lambda = 780\text{nm}$ . These dips are related to both the parasitic absorption of Ag cuboids and the existence of the cavity modes, but only the cavity modes will contribute to the efficiency enhancement of the solar cell, which will be discussed in the following. In Fig. 2(b), four reflection dips are around  $\lambda = 430\text{nm}$ ,  $700\text{nm}$ ,  $754\text{nm}$  and  $890\text{nm}$ , respectively. And in Fig. 2(c), five dips are emerged around  $\lambda = 430\text{nm}$ ,  $670\text{nm}$ ,  $820\text{nm}$ ,  $875\text{nm}$  and  $914\text{nm}$ , respectively. But the mechanisms of these effects and the types of these optical modes are not clearly understood only from their reflectance calculations.

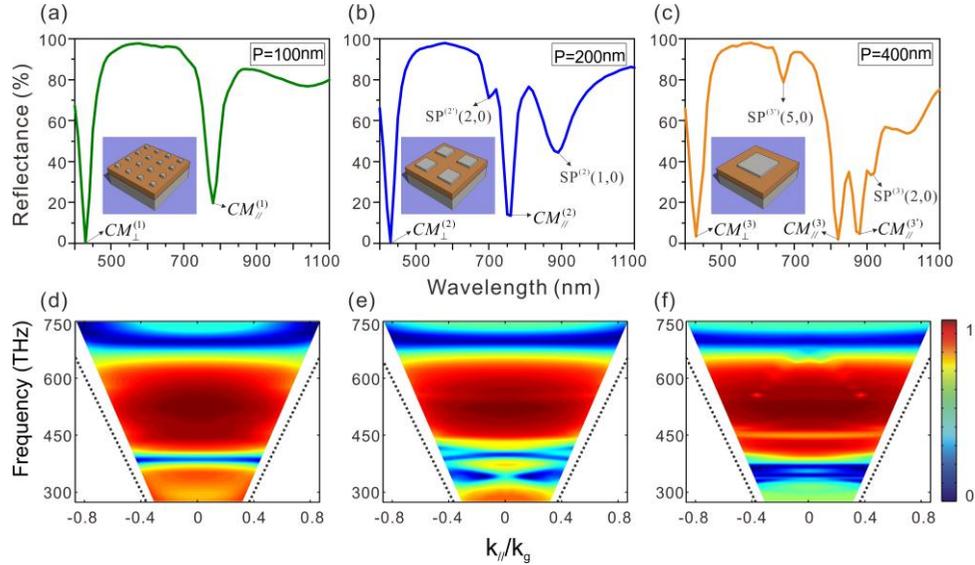


Fig. 2. (a)-(c) Calculated reflectance spectra of three silicon solar cells with base-periodicity patterns: (a)  $P_1 = 100\text{nm}$ , and  $W_1 = 25\text{nm}$ ; (b)  $P_2 = 200\text{nm}$ , and  $W_2 = 100\text{nm}$ ; (c)  $P_3 = 400\text{nm}$ , and  $W_3 = 200\text{nm}$ , respectively. The insets show the related schematic patterns. (d)-(f) Dispersion maps of these three silicon solar cells,  $k_x/k_z$  is the in-plane wave vector, and  $k_z = 2\pi / P_3$  for normalization. Black dotted lines are the light cone lines. Color bar shows the calculated reflection intensity. In all three solar cells, the thicknesses of the layers are  $d_1(\text{Ag}) = 20\text{nm}$ ,  $d_2(\text{Si}) = 50\text{nm}$ , and  $d_3(\text{bottom Ag}) = 250\text{nm}$ , respectively.

In order to study the optical modes corresponding to these reflection dips, we calculated the dispersion maps of these systems by collecting the reflectance at different incident angles from  $0^\circ$  to  $64^\circ$  [as shown in Figs. 2(d)-2(f)]. The light cone lines are marked with black dotted lines. Color bar shows the calculated reflection intensity. As shown in Figs. 2(d)-2(f), there exist several anti-reflection frequency regions, and two types of optical modes can be found in the dispersion maps. One is cavity modes (CM), which come from Fabry-Perot resonances at the longitudinal or transverse cavities. These cavity modes are presented as straight bands in the dispersion maps. The other is surface plasmon (SP) modes [23,24], which propagate along the silicon-silver interface. These SP modes are presented as those crossover bands in the dispersion maps. For details, as shown in Figs. 2(d)-2(f) around the frequency of  $714\text{THz}$  (*i.e.*,  $\lambda = 430\text{nm}$ ), there are dark-blue straight bands indicating the cavity modes. Besides, in the frequency range of  $320\text{THz}$  to  $450\text{THz}$  (*i.e.*,  $\lambda = 670\text{nm}$  to  $940\text{nm}$ ), straight and crossover bands emerge, corresponding to excitations of both modes. These two optical modes can broaden antireflection bands and bring significant enhancement of the quantum efficiency in the solar cell system. To further examine each optical mode excited in these three structures, we come to their electric field distributions as shown in Fig. 3.

Firstly, we study the longitudinal cavity modes, where the electric field distributions are shown in the left column of Figs. 3(a)-3(c).  $CM_{\perp}^{(1)}$ ,  $CM_{\perp}^{(2)}$  and  $CM_{\perp}^{(3)}$  are longitudinal cavity modes in silicon layers, they are nearly the same in this direction. Since the thickness of the

silicon layers are the same for three samples, the longitudinal cavity modes are all excited around  $\lambda = 430\text{nm}$  and the nano cuboids on the top layer don't affect the wavelength of these modes. They are substantially confirmed to Fabry-Perot (FP) resonance, which can be derived from the relationship of wavelength with refractive index  $n$  and thickness  $d_2$ ,  $\lambda_k \approx 2nd_2/k$  [25], where  $k = 1$  is an integer, represents the order of FP resonance. These cavity modes originate from the interaction between the incident light and the reflected light from silver back reflector, which is independent of the plasmonic effect.

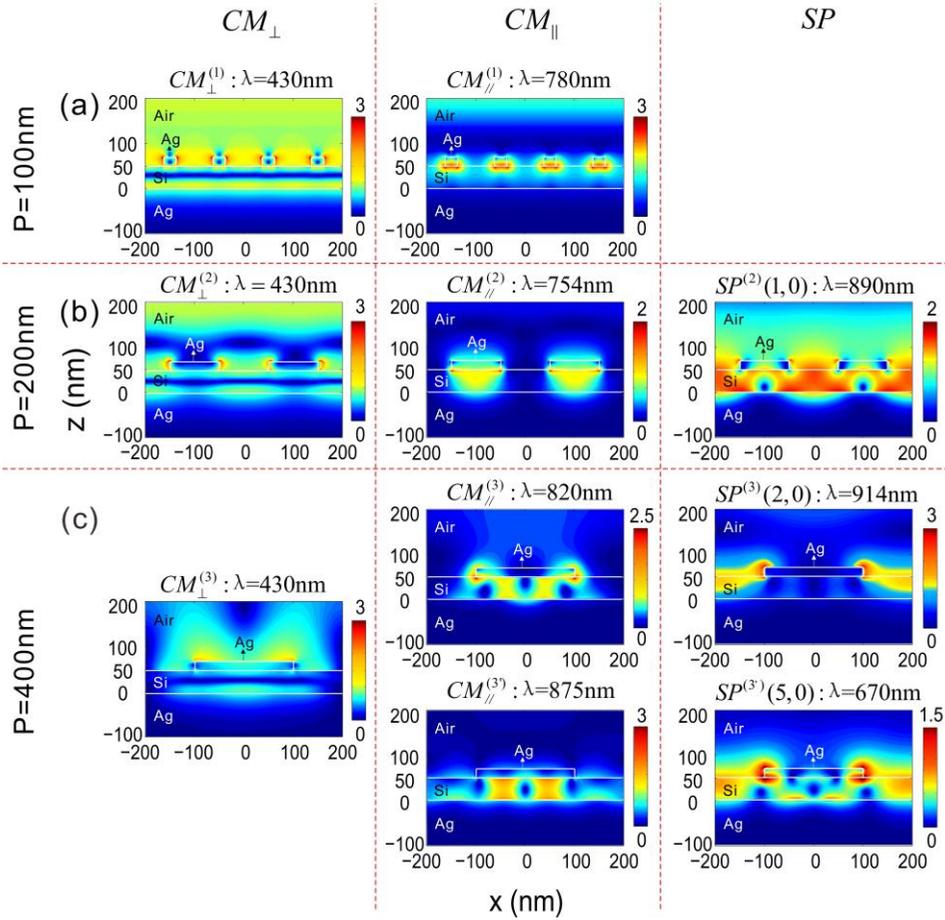


Fig. 3. Electric field distributions of three ultra-thin silicon solar cells with base-periodicity patterns. The cross sections are at the center of the silver nano cuboids ( $x$ - $z$  plane,  $y = 0\text{nm}$ ).  $CM_{\perp}$  is the longitudinal cavity mode in the left column,  $CM_{\parallel}$  is the transverse cavity mode in the middle column, and SP is the surface plasmon mode in the right column, respectively. (a) For period  $P_1 = 100\text{nm}$ ,  $CM_{\perp}^{(1)}$  and  $CM_{\parallel}^{(1)}$  are excited at  $\lambda = 430\text{nm}$ ,  $780\text{nm}$ . (b) For period  $P_2 = 200\text{nm}$ ,  $CM_{\perp}^{(2)}$ ,  $CM_{\parallel}^{(2)}$  and  $SP^{(2)}(1,0)$  are excited at  $\lambda = 430\text{nm}$ ,  $754\text{nm}$  and  $890\text{nm}$ . (c) For period  $P_3 = 400\text{nm}$ ,  $CM_{\perp}^{(3)}$ ,  $CM_{\parallel}^{(3)}$ ,  $CM_{\parallel}^{(3)}$ ,  $SP^{(3)}(2,0)$ ,  $SP^{(3)}(5,0)$  are excited at  $\lambda = 430\text{nm}$ ,  $820\text{nm}$ ,  $875\text{nm}$ ,  $914\text{nm}$ , and  $670\text{nm}$ , respectively.

Besides the longitudinal modes, the transverse cavity modes also appear in the system, which are excited in between the silver nano cuboids. Their electric field distributions are shown in the middle column of Figs. 3(a)-3(c). The modes marked by  $CM_{\parallel}^{(1)}$ ,  $CM_{\parallel}^{(2)}$ ,  $CM_{\parallel}^{(3)}$

and  $CM_{//}^{(3)}$  correspond to the transverse cavity modes at  $\lambda = 780\text{nm}$  ( $P_1$ ),  $754\text{nm}$  ( $P_2$ ),  $820\text{nm}$  ( $P_3$ ) and  $875\text{nm}$  ( $P_3$ ) respectively. Taking the mode “ $CM_{//}^{(1)}$ ” as an example, we can see that strong electric fields are mainly confined in the silicon layer and its surrounding cuboids; and the electric fields around the cuboids can interact with those in neighbor cuboids along the parallel direction, which verify the resonance in the transverse cavity. Similarly,  $CM_{//}^{(2)}$  has the same mechanism and the electric fields are more confined in the active layer than those in the silver nano cuboids. To be noticed,  $CM_{//}^{(3)}$  and  $CM_{//}^{(3)}$  are the high-index transverse cavity modes, which are not excited between the neighbors but inside its own period. Compared with the mode of  $CM_{//}^{(3)}$ , the mode of  $CM_{//}^{(3)}$  has stronger electric fields and its electromagnetic energies are more confined in the active layer [as shown in Fig. 3]. These modes play an important role in the enhancement of solar cell's efficiency, since their electric fields are mainly confined in the active layer, and their intensities are considerably strong.

Actually surface plasmon (SP) modes are also excited in the system, which originate from the interaction between the surface charge oscillation and the electromagnetic field of the light. Since the SP can be tailored by adjusting the subwavelength structures on metal surface, such as altering the type of the structure and the geometry of the unit cell, it may offer a prominent way for absorption enhancement in our solar cell system. It is well known that at the interface separating a metal and a dielectric, the SP mode obeys the dispersion relation as [23]

$$k_{SP} = k_0 \sqrt{\frac{\epsilon_d \epsilon_m}{\epsilon_d + \epsilon_m}}, \quad (1)$$

where  $k_{SP}$  is the wave vector of the SP,  $k_0$  is the in-plane component of the incident wave vector and  $\epsilon_d$  and  $\epsilon_m$  are permittivity of dielectric and metal. We also obtain the minimum reflectance  $\lambda_{\min}$  satisfying [26]:

$$\lambda_{\min} = \frac{P}{\sqrt{i^2 + j^2}} \sqrt{\frac{\epsilon_d \epsilon_m}{\epsilon_d + \epsilon_m}}. \quad (2)$$

From Eq. (2) the crossover bands around reflectance dips can be indexed with integers ( $i, j$ ) in the optical spectra [as shown in Figs. 2(a)-2(c)]. In every base-periodicity pattern, momentum conservations are strictly required. Electric field distributions of SP modes are shown in the right column of Figs. 3(b) and 3(c), we can see that the electric-field enhancement is between the silicon-silver interface and the electric-field propagates along the interface. In Fig. 3(a), there is no SP mode supported, because the period is too small to satisfy momentum conservation. Based on our calculations, the SP modes can be indexed as  $SP^{(2)}(1,0)$  at  $\lambda = 890\text{nm}$  ( $P_2$ ),  $SP^{(2)}(2,0)$  at  $\lambda = 700\text{nm}$  ( $P_2$ ) in Fig. 2(b),  $SP^{(3)}(2,0)$  at  $\lambda = 914\text{nm}$  ( $P_3$ ), and  $SP^{(3)}(5,0)$  at  $\lambda = 670\text{nm}$  ( $P_3$ ) in Fig. 2(c), respectively. Therefore, we can make a conclusion that in these solar cell systems with base-periodicity patterns, both low-index and high-index SP modes can be simultaneously excited. And they are evidently different from cavity modes. At the SP modes, the electromagnetic waves propagate along the silver-silicon interface and most of electromagnetic energies can come to the active layer (silicon).

#### 4. Broadband absorption and efficiency enhancement

Now that we can understand the optical modes excited in the plasmonic solar cell system with base-periodicity patterns, we can draw our attentions on the absorption and the efficiency of the solar cells. The absorbance [27] of the solar cell at a given wavelength ( $\lambda$ ) is evaluated by

$$A(\lambda) = \omega \cdot \text{Im}(\epsilon) \int |E|^2 dV, \quad (3)$$

where  $\text{Im}(\epsilon)$  is the imaginary part of the permittivity of silicon,  $\omega$  and  $E$  are the angular frequency and the electric field, respectively, and the integral is over the silicon layer. The normalized absorbance of the solar cells with base-periodicity patterns are shown in Figs. 4(a)-4(c). The enhancement of absorption is mainly achieved in spectral ranges of 400-470nm and 650-950nm, comparing with two referenced cases without plasmonic structure [as black and brown dotted lines shown in Fig. 4(a), respectively.]. The resonances of absorptions of solar cells (*i.e.*, Si layer) are originated from the surface plasmon modes and the cavity modes excited in the systems. This feature is consistent with the reflection spectra in Figs. 2(a)-2(c) although the parasitic absorption of Ag nano cuboids [as shown in Figs. 4(d)-4(f)] also contributes to some reflection dips as mentioned in Sec. 3. Please note that most of the absorption peaks of solar cells in Figs. 4(a)-4(c) are in narrow bandwidth. To overcome this shortcoming, we come to the following solar cell with a plasmonic fractal.

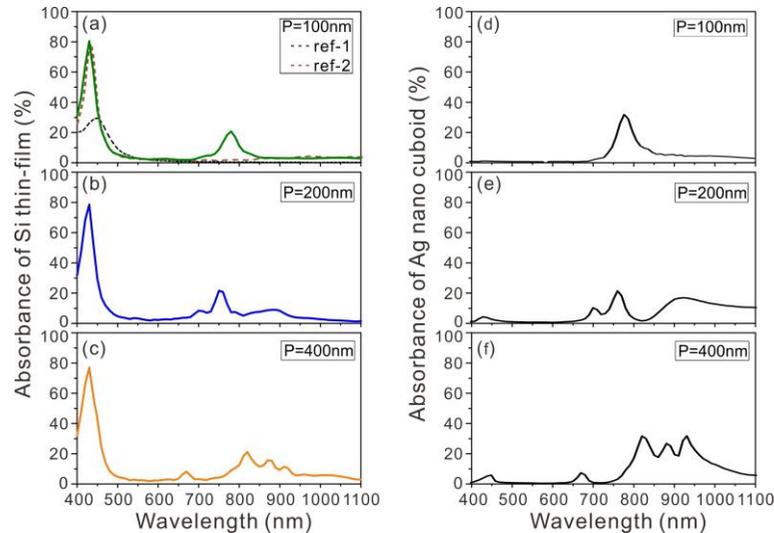


Fig. 4. Calculated absorbance spectra of the solar cells (*i.e.*, the absorbance of 50nm thick Si film) and the absorbance spectra of Ag nano cuboids in the structures with different base-periodicity patterns, respectively. (a) and (d)  $P_1 = 100\text{nm}$  and  $W_1 = 25\text{nm}$ ; (b) and (e)  $P_2 = 200\text{nm}$  and  $W_2 = 100\text{nm}$ ; (c) and (f)  $P_3 = 400\text{nm}$  and  $W_3 = 200\text{nm}$ . In all three solar cells, the thicknesses of the layers are  $d_1(\text{Ag}) = 20\text{nm}$ ,  $d_2(\text{Si}) = 50\text{nm}$ , and  $d_3(\text{bottom Ag}) = 250\text{nm}$ , respectively. Besides, black-dotted and brown-dotted lines in Fig. 4(a) illustrate the absorbance spectra of ref-1 (free-standing 50nm-thick Si film) and ref-2 (50nm-thick Si film with a 250nm-thick Ag back reflector), and both of these references are without any plasmonic structures on the top.

Our proposed silicon solar cell with a plasmonic fractal is schematically shown in Fig. 5(a), which contains a silver fractal-like pattern (thickness  $d_1 = 20\text{nm}$ ) coated on the top, a crystalline silicon (c-Si) absorb layer (thickness  $d_2 = 50\text{nm}$ ) in the middle and a silver back reflector (thickness  $d_3 = 250\text{nm}$ ) on the bottom. The fractal-like pattern of silver nano cuboids is composed of the above three base-periodicity patterns. The reflection spectrum of this solar cell with the plasmonic fractal is calculated as shown in Fig. 5(c). Comparing with the dispersion maps in Figs. 2(d)-2(f), the antireflection band regimes of the present solar cell is significantly broadened as shown in Fig. 5(b). Normalized absorbance of the solar cell with plasmonic fractal is shown in Fig. 5(d). Comparing with the other three solar cells with based-periodicity patterns, this absorption curve in Fig. 5(d) (red line) covers almost all the curves of those three structures (green, blue and orange line) with only a little resonance shift. It is presented that in the spectral regime from 670nm to 1000nm the absorption is substantially enhanced in a quite flat broadband way, however, it decreases a little in the spectral ranges from 400nm to 480nm because more electromagnetic energy is dissipated to heat through

ohmic loss. This is a prominent enhancement sign for our design due to the fact that several feature sizes distribute in a fractal-like structure, both low-index and high-index SP modes are simultaneously excited. Particularly, a new peak appears around  $\lambda = 960\text{nm}$  in Fig. 5(d). Actually it comes from the hybridized resonance of cavity mode and SP mode. Besides, localized surface plasmon mode also contributes to the whole optical absorption, but here it does not play a leading role.

Since the reflectance [Fig. 5(c)] is substantially high and the absorbance [Fig. 5(d)] is very low in the wavelength range from 480nm to 670nm, we then try to use an additional dielectric antireflection coating (ARC) to inhibit the reflection in this regime hoping to do some help for the absorbance enhancement [27]. Here, we adopt a 100nm-thick  $\text{SiO}_2$  film on top of the Si active layer and emerge the fractal pattern inside it. Besides, we add a thin enough passivation layer of  $\text{SiO}_2$  between the fractal pattern and the active layer. We finally obtain the reflectance and absorbance of Si solar cell with both a fractal pattern and a 100nm-thick  $\text{SiO}_2$  ARC, as shown in Figs. 5(e) and 5(f). It is obvious that with the dielectric ARC, the absorbance of this fractal solar cell can be further enhanced.

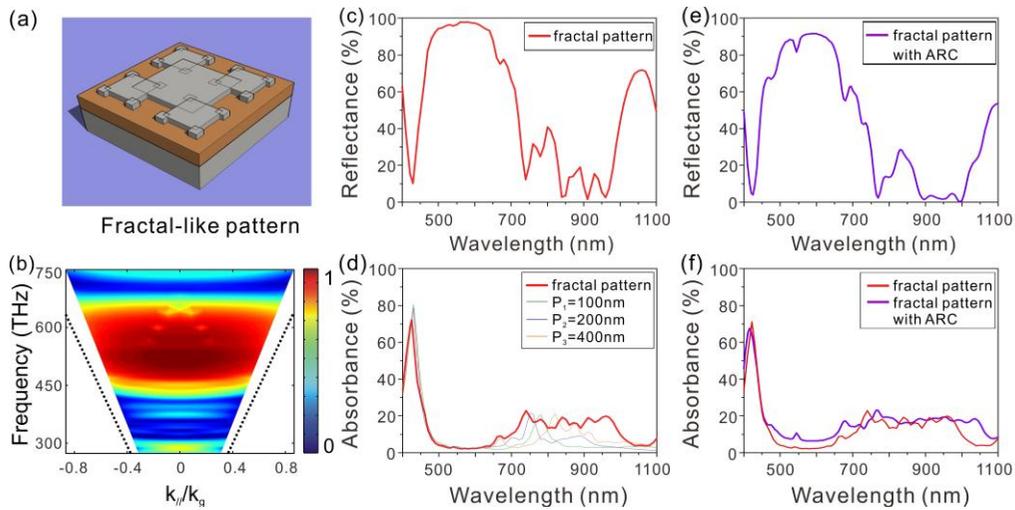


Fig. 5. (a) The schematic silicon solar cell with a plasmonic fractal, which contains 3 length-scales. (b) Dispersion map of this silicon solar cell with the fractal.  $k_x$  is the in-plane wave vector, and  $k_g = 2\pi / P_3$  for normalization. Black dotted lines are the light cone lines. Color bar shows the calculated reflection intensity. (c) Calculated reflectance spectrum of this silicon solar cell with the fractal. (d) Calculated absorbance spectrum of a silicon solar cell with this fractal (red line). Green, blue and orange lines are the absorbance spectra of silicon solar cells with base-periodicity patterns in Figs. 4(a)-4(c). In these solar cells, the thicknesses of the layers are  $d_1(\text{Ag}) = 20\text{nm}$ ,  $d_2(\text{Si}) = 50\text{nm}$ , and  $d_3(\text{bottom Ag}) = 250\text{nm}$ , respectively. (e) Calculated reflectance spectrum of this silicon solar cell with the fractal and a 100nm-thick  $\text{SiO}_2$  antireflection coating (ARC). (f) Calculated absorbance spectrum of this silicon solar cell with the fractal and a 100nm-thick  $\text{SiO}_2$  antireflection coating (ARC) (violet line).

We have already achieved broadband absorption of the solar cell with a plasmonic fractal. Further, we will demonstrate that the present design is suitable for high-performance solar cells. It is known that external quantum efficiency (QE) is defined as the ratio of the number of electrons in the external circuit produced by an incident photon of a given wavelength [28]. Here we consider an ideal process [29–31] and assume that the recombination loss is zero and the carrier's lifetime is infinite when the solar cell is under 1 sun standard AM1.5G illumination [32]. So the integrated QE can be evaluate by

$$QE = \frac{\int_{\lambda_1}^{\lambda_2} \frac{\lambda}{hc} A(\lambda) \times I_{AM1.5G}(\lambda) d\lambda}{\int_{\lambda_1}^{\lambda_2} \frac{\lambda}{hc} I_{AM1.5G}(\lambda) d\lambda}, \quad (4)$$

where  $\lambda$  is the incident wavelength,  $h$  is Planck's constant,  $c$  is the light speed in free space, and  $I_{AM1.5G}(\lambda)$  is the air mass 1.5 solar spectrum.

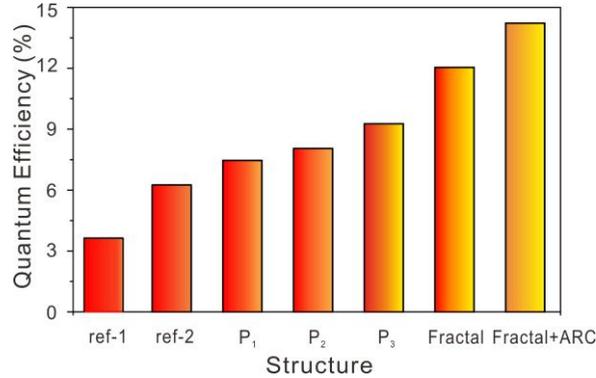


Fig. 6. Calculated quantum efficiencies of the 50nm-thick silicon solar cells: i) ref-1 (free-standing 50nm-thick Si film) and ref-2 (50nm-thick Si film with a 250nm-thick Ag back reflector). Both of these references are without any plasmonic structures on the top. ii) Three silicon solar cells with base-periodicity patterns ( $P_1 = 100\text{nm}$ ,  $P_2 = 200\text{nm}$ ,  $P_3 = 400\text{nm}$ ), and iii) the silicon solar cell with a plasmonic fractal and the one with a plasmonic fractal plus a dielectric ARC, respectively. The QEs are 3.16%, 6.55%, 7.46%, 8.05%, 9.27%, 12.05% and 14.22% respectively. In five plasmonic solar cells, the thicknesses of the layers are  $d_1(\text{Ag}) = 20\text{nm}$ ,  $d_2(\text{Si}) = 50\text{nm}$ , and  $d_3(\text{bottom Ag}) = 250\text{nm}$ , respectively. The dielectric ARC is a 100nm-thick  $\text{SiO}_2$  film, which deposits on Si film with the plasmonic fractal inside.

In Fig. 6, the columns represent the quantum efficiency (QE) of ref-1 (free-standing 50nm-thick Si film) and ref-2 (50nm-thick Si film with a 250nm-thick Ag back reflector), three solar cells with base-periodicity patterns ( $P_1 = 100\text{nm}$ ,  $P_2 = 200\text{nm}$ ,  $P_3 = 400\text{nm}$ ), and the solar cells with a plasmonic fractal and with a plasmonic fractal plus a dielectric ARC, respectively, within the wavelength range 400-1100 nm. Their calculated QEs are 3.16%, 6.55%, 7.46%, 8.05%, 9.27%, 12.05% and 14.22%, respectively. Obviously, the periodicity of structure is the important factor affecting the QE. By defining the enhancement factor  $(QE - QE_{\text{ref}})/QE_{\text{ref}} \times 100\%$ , we can achieve the enhancement factor for the plasmonic-fractal solar cell by 83.4% when the 50nm-thick Si film with a Ag back reflector (*i.e.*, “ref-2”) is used as a reference structure. And further by adding a 100nm-thick  $\text{SiO}_2$  film as the dielectric ARC, we can further enhance the factor to 117% (“ref-2” as a reference structure). This is superior to any of the structures with base-periodicity patterns. High efficiency originates from multiple optical modes, which are simultaneously excited in this system as described above.

Actually, the efficiency of the silicon solar cells can be further improved by tuning the geometry of the plasmonic fractals. Suppose the plasmonic fractal contains three length scales, similar to the structure in Fig. 5(a), but the parameters are varied as follows:  $P = 4P_1 = 2P_2 = P_3$ ,  $f = 2W_1/P_1 = W_2/P_2 = W_3/P_3$ , where  $P$  is the unit size of fractals and  $f$  is defined as duty ratio of silver in the fractal patterns. Naturally we can only use  $P$  and  $f$  to construct this pattern. By the optimization as illustrated in Fig. 7, it is shown that in the structure with period  $P = 700\text{nm}$ , the efficiency is higher than any other periods with the same duty ratio, consequently the highest QE (13.73%) can be achieved under  $P = 700\text{nm}$  and  $f = 0.3$  (without additional dielectric ARC). If an 80nm-thick  $\text{SiO}_2$  film is used as an additional dielectric ARC and coated on the top of this structure, the QE can reach 14.52%, which might be improved after optimizing the dielectric ARC carefully. Actually the plasmonic pattern can be changed to other shapes and

arrangements, and it may contain more length-scales for more resonance peaks achieved in broadband areas. It is well known that the traditional bulk silicon solar cells have a much higher efficiency even in experiments (~24.2%) [33]. Considering ultra-thin

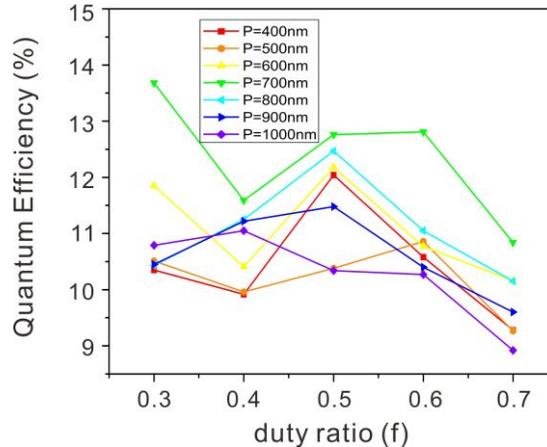


Fig. 7. Calculated quantum efficiency of the silicon solar cells with plasmonic fractals for different base-units and duty ratios. The unit size ( $P$ ) of fractals is defined as  $P = 4P_1 = 2P_2 = P_3$  and the duty ratio ( $f$ ) of silver in the fractal patterns is defined as  $f = 2W_1/P_1 = W_2/P_2 = W_3/P_3$ . The period is varied from 400nm to 1000nm for every 100nm and duty ratio is varied from 0.3 to 0.7 for every 0.1. In all these solar cells, the thicknesses of the layers are  $d_1(\text{Ag}) = 20\text{nm}$ ,  $d_2(\text{Si}) = 50\text{nm}$ , and  $d_3(\text{back Ag}) = 250\text{nm}$ , respectively.

thickness of silicon in our system (50nm-thick Si), it is valuable to pay attention to plasmonic thin-film solar cells. Further studies are still carried on to obtain broader-band absorption and higher efficiency combining the advantages of traditional solar systems and thin-film plasmonic/dielectric structures.

## 5. Conclusion

We have proposed an ultra-thin silicon solar cell with a plasmonic fractal, and theoretically investigated its optical absorption and quantum efficiency. Because of the self-similar property in the plasmonic fractal, broadband absorption and quantum efficiency enhancement are prominently achieved. This feature originates from the fact that multiple cavity modes and SP modes are excited in the structure. Cavity modes come from Fabry-Perot resonances at the longitudinal and transverse cavities, respectively; while low-index and high-index SP modes, which propagate along the silicon-silver interface, play important roles in the broadband enhancement. In addition, by tuning the geometry of the plasmonic fractal, we can further improve the quantum efficiency of this ultra-thin silicon solar cell. The theoretical finding contributes to high-performance plasmonic solar cells and can be applied to designing miniaturized compact photovoltaic devices.

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